

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Robert W. Schwanke

Serial No.: Unassigned

Filed: Concurrently herewith

Attorney Dckt: 2001P13007US(8706-507)

Date: July 19, 2001

For: DATA-TRIGGERED WORKFLOW PROCESSES

Assistant Commissioner for Patents  
Washington, D.C. 20231



INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the listed items are enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art.

The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. ' 1.56(b).

- [X] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. ' 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 19-2179. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 19-2179 therefor. **A copy of this sheet is enclosed.**

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

By: Donald B. Paschburg  
Donald B. Paschburg  
Reg. No. 33,753  
Attorney for Applicant(s)

SIEMENS CORPORATION  
Intellectual Property Department  
186 Wood Avenue South  
Iselin, New Jersey 08830  
(732) 321-3191  
(732) 321-3030 (FAX)

|  |  |   |                              |
|--|--|---|------------------------------|
| Form PTO-1449<br>COMMERCE<br><br>OFFICE<br><br>INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br><br>(Use several sheets if necessary) | U.S. DEPARTMENT OF<br>PATENT AND TRADEMARK | ATTY. DOCKET NO.<br><br>2001P13007US (8706-504) | SERIAL NO.<br><br>Unassigned |
|  |  | APPLICANT<br><br>Robert W. Schwanke             | GROUP<br><br>Art Unit        |
|  |  | FILING DATE<br><br>Concurrently herewith        |                              |

1c971 U.S. PTO  
09/908953  
07/16/01

## U.S. PATENT DOCUMENT

| EXAMINER<br>INITIALS |  | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLAS<br>S | FILING DATE<br>IF<br>APPROPRIATE |
|----------------------|--|-----------------|------|------|-------|--------------|----------------------------------|
|                      |  |                 |      |      |       |              |                                  |
|                      |  |                 |      |      |       |              |                                  |
|                      |  |                 |      |      |       |              |                                  |
|                      |  |                 |      |      |       |              |                                  |
|                      |  |                 |      |      |       |              |                                  |
|                      |  |                 |      |      |       |              |                                  |
|                      |  |                 |      |      |       |              |                                  |
|                      |  |                 |      |      |       |              |                                  |

## FOREIGN PATENT DOCUMENTS

|  |  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLAS<br>S | TRANSLATION |    |
|--|--|-----------------|------|---------|-------|--------------|-------------|----|
|  |  |                 |      |         |       |              | YES         | NO |
|  |  |                 |      |         |       |              |             |    |
|  |  |                 |      |         |       |              |             |    |
|  |  |                 |      |         |       |              |             |    |
|  |  |                 |      |         |       |              |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |  |
|--|--|--|
|  |  | 1. Gianpaolo Cugola, "Tolerating Deviations in Process Support Systems via Flexible Enactment of Process Models," IEEE Transactions on Software Engineering, Vo. 24, No. 11, pp.982-1001, November 1998. |
|  |  | 2. Kaiser et al., "Preliminary Experience with Process Modeling in the Marvel Software Development Environment Kernel," 1990 IEEE, pp. 131-140.  |
|  |  | 3. Workflow Management Coalition: Terminology & Glossary, Document Number WPMC-TC-1011, Document Status - Issue 3.0, Feb. 99, pp. 1-65.  |
|  |  |  |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.